

## Filing Information

No longer subject to Section 16. Form 4 or Form 5 obligations may continue. 

| Issuer Name         | Ticker or Trading Symbol | Date of Earliest Transaction Required to be Reported | If Amendment, Date of Original Filing |
|---------------------|--------------------------|--|---------------------------------------|
| First Republic Bank |                          | 01/22/2020   |                                       |

## Filer Information

| Name of Reporting Person | Street Address  | City          | State | ZIP Code | Relationship of Reporting Person to Issuer                                   |
|--------------------------|---|---------------|-------|----------|--|
| Michael J Roffler        | c/o First Republic Bank<br>111 Pine Street, 2nd Floor | San Francisco | CA    | 94111    | Officer<br>Description: Executive Vice President and Chief Financial Officer |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Title of Security | Transaction Date | Deemed Execution Date | Transaction Code | <input checked="" type="checkbox"/> | Amount of Securities Acquired or Disposed of | Price of Securities Acquired or Disposed of | Amount of Securities Beneficially Owned Following Reported Transactions | Ownership Form | Nature of Indirect Beneficial Ownership |
|-------------------|------------------|-----------------------|------------------|-------------------------------------|--|---|---|----------------|---|
| Common Stock 1    | 01/22/2020       |                       | M                |                                     | 3,265 Shares (A)                             |   | 44077 Shares  | Direct         |   |
| Common Stock 2    | 01/22/2020       |                       | F                |                                     | 1,174 Shares (D)                             | \$117.34                                    | 42903 Shares  | Direct         |   |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Title of Derivative Security | Conversion or Exercise Price of Derivative Security | Transaction Date | Deemed Execution Date | Transaction Code | <input checked="" type="checkbox"/> | Number of Derivative Securities Acquired or Disposed of | Date Exercisable | Expiration Date | Title of Underlying Securities | Amount of Underlying Securities | Price of Derivative Security | Number of Derivative Securities Beneficially Owned Following Reported Transactions | Ownership Form of Derivative Securities | Nature of Indirect Beneficial Ownership |
|------------------------------|---|------------------|-----------------------|------------------|-------------------------------------|---|------------------|-----------------|--------------------------------|---------------------------------|------------------------------|--|---|---|
| Restricted Stock Units 3     |   | 01/22/2020       |                       | M                |                                     | 3,265 Shares (D)  |                  | 01/22/2022      | Common Stock                   | 3,265 Shares                    |                              | 6,529  | Direct                                  |   |

## Explanation of Responses

(1) Restricted stock units, upon vesting, convert into common stock on a one-for-one basis. (2) 1,174 shares were withheld (not sold into the market) for the purpose of paying the income tax obligations upon vesting of restricted stock units. (3) Restricted Stock Units are awarded under the Company's Omnibus Award Plan with 3,265 units scheduled to vest on January 22, 2021 and 3,264 units scheduled to vest on January 22, 2022.

\* Signed by: \_\_\_\_\_/s/Michael J. Roffler Date: 01/24/2020 ✓

The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78l, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St., NW, Mailstop 41, Washington, DC 20551 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 5/M3, Washington, DC 20552 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.

\* Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

## Exhibit Information

There is no Power of Attorney information

There is no Other information