

Filing Information

No longer subject to Section 16. Form 4 or Form 5 obligations may continue.
Issuer Name: First Republic Bank
Ticker or Trading Symbol:
Date of Earliest Transaction Required to be Reported: 01/19/2023
If Amendment, Date of Original Filing:

Filer Information

Name of Reporting Person: David B Lichtman
Street Address: c/o First Republic Bank, 111 Pine Street, 2nd Floor
City: San Francisco
State: CA
ZIP Code: 94111
Relationship of Reporting Person to Issuer: Officer
Description: Senior Executive Vice President and Chief Credit Officer

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table with 10 columns: Title of Security, Transaction Date, Deemed Execution Date, Transaction Code, V, Amount of Securities Acquired or Disposed of, Price of Securities Acquired or Disposed of, Amount of Securities Beneficially Owned Following Reported Transactions, Ownership Form, Nature of Indirect Beneficial Ownership. Rows include Common Stock, Common Stock 1, and Common Stock 2.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table with 15 columns: Title of Derivative Security, Conversion or Exercise Price of Derivative Security, Transaction Date, Deemed Execution Date, Transaction Code, V, Number of Derivative Securities Acquired or Disposed of, Date Exercisable, Expiration Date, Title of Underlying Securities, Amount of Underlying Securities, Price of Derivative Security, Number of Derivative Securities Beneficially Owned Following Reported Transactions, Ownership Form of Derivative Securities, Nature of Indirect Beneficial Ownership. Rows include Restricted Stock Units 3 through 18.

Explanation of Responses

(1) The reported price is the weighted average price. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Federal Deposit Insurance Corporation, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this Form 4. These shares were sold at prices between \$130.67 and \$131.37. (2) 1,500 shares were donated (not sold) to a donor advised fund. (3) 158 restricted stock units ("RSUs") are scheduled to vest on 3/15/23. (4) 3,957 RSUs are scheduled to vest on 2/12/23. (5) 157 RSUs are scheduled to vest on 3/13/23. (6) 103 RSUs are scheduled to vest on 5/29/23. (7) 98 RSUs are scheduled to vest on 8/31/23. (8) 91 RSUs are scheduled to vest on 11/30/23. (9) 2,996 RSUs are scheduled to vest on 2/10/23 and 2/10/24. (10) 223 RSUs are scheduled to vest on 3/12/23 and 222 RSUs are scheduled to vest on 3/12/24. (11) 82 RSUs are scheduled to vest on 5/28/23 and 5/28/24. (12) 91 RSUs are scheduled to vest on 8/31/23 and 8/31/24. (13) 117 RSUs are scheduled to vest on 11/30/23 and 11/30/24. (14) 3,021 RSUs are scheduled to vest on 2/10/23 and 3,020 RSUs are scheduled to vest on 2/10/24 and 2/10/25. (15) 336 RSUs are scheduled to vest on 3/11/23 and 335 RSUs are scheduled to vest on 3/11/24 and 3/11/25. (16) 141 RSUs are scheduled to vest on 5/31/23 and 140 RSUs are scheduled to vest on 5/31/24 and 5/31/25. (17) 144 RSUs are scheduled to vest on 8/31/23, 8/31/24 and 8/31/25. (18) 168 RSUs are scheduled to vest on 11/30/23 and 11/30/24, and 167 units scheduled to vest on 11/30/25.

* Signed by: /s/David B. Lichtman Date: 01/23/2023

The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78l, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St., NW, Mailstop 41, Washington, DC 20561 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 5/M3, Washington, DC 20552 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.
* Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Exhibit Information

There is no Power of Attorney information
There is no Other information