Filing Information

No longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Plan with 104 units scheduled to vest on May 29, 2021 and 2022 and 103 units scheduled to vest on May 29, 2023.

/s/David B. Lichtman Date: 06/02/2020 🗸

Statement of Changes in Beneficial Ownership of Securities

Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

FRB OMB Number: 7100-0091

FDIC OMB Number: 3064-0030 OCC OMB Number: 1557-0106 OTS OMB Number: 1550-0019

| Issuer Name Ticker or Trading Symbo | | | | | | | | | Date of Earliest Transaction | Required to be Reported | | If Amendment, Date of Original Filing | | |
|--|--|------------------|-----------------------|--|--|------------------------------------|------------------|------------------------------|--|--|---------------------------------|---|--|---|
| First Republic Bank | | | | | | | 05/29/2020 | | | | | | | |
| Filer Information | ×- | | | | | 48 | | | ×- | | · · | 25 | | |
| Name of Reporting Person | Street Address | | | | | | | City | | State | | Relationship of Reporting Person to Issuer | | |
| David B Lichtman c/o First Republic Bank 111 Pine Street, 2nd Floor | | | | | | San | Francisco | | CA | | 94111 | Officer Description: Senior Executive Vice President and Chief Credit Office | | ef Credit Officer |
| Table I - Non-Derivative S | Securities Acquired, Dis | sposed of, or Be | eneficially Owned | or . | × | | 20 | | · · · · · · · · · · · · · · · · · · · | | | | | |
| Title of Security Transac | | | | Deemed Execution Transaction Code Date | | Amount of Securities Acquired or D | | s Acquired or Disposed of | Price of Securities Acquired or Disposed of | Amount of Securities Beneficially Owned Following Reported Transactions | | Ownership Form | Nature of Indirect Beneficial Ownership | |
| Common Stock 1 | 06/01/2020 | | A | 6,000 Shares (A) | | A) | | 14502 Shares | | Direct | | | | |
| Common Stock 2 | 06/01/2020 | | F | 2,975 Shares (D) | | D) | \$108.80 | 11527 Shares | | Direct | | | | |
| Common Stock 3 05/31/ | | | 05/31/2020 | | A | 101 Shares (A) | | | | 364 Shares | | Indirect | By spouse | |
| Common Stock 4 05/ | | | 05/31/2020 | | F | 51 Shares (D) | | | \$108.17 | 313 Shares | | Indirect | By spouse | |
| Common Stock 1 06/01/202 | | | 06/01/2020 | | A | | 1,200 Shares (A) | | | 1513 Shares | | Indirect | By spouse | |
| Common Stock 5 06/01/2020 | | | 06/01/2020 | | F | | 595 Shares (D) | ĺ. | \$108.80 | 918 Shares | | Indirect | By spouse | |
| Table II - Derivative Secu | rities Acquired, Dispos | ed of, or Benefi | cially Owned | a second | w | | | A: | To a second | | × 2 | | | |
| Title of Derivative Security | Conversion or Exercise Price of Derivative Security | Transaction Date | Deemed Execution Date | Transaction Code V | Number of Derivative Securi Acquired or Disposed of | | Date Exercisable | Expiration Date | Title of Underlying Securities | Amount of Underlying Securities | Price of Derivative Security | Number of Derivative Securities Beneficially Owned Following Reported Transactions | Ownership Form of Derivative Securities | Nature of Indirect Beneficial Ownership |
| Restricted Stock Units 6 | | | | | | | | 01/22/2022 | Common Stock | 9,054 Shares | | 9,054 | Direct | |
| Restricted Stock Units 7 | | | | | | | | 08/31/2022 | Common Stock | 381 Shares | | 381 | Indirect | By spouse |
| Restricted Stock Units 8 | | | | | | | | 03/15/2023 | Common Stock | 474 Shares | | 474 | Indirect | By spouse |
| Restricted Stock Units 9 | | 05/31/2020 | | М | 101 Shares (D) | | | 05/31/2022 | Common Stock | 101 Shares | | 202 | Indirect | By spouse |
| Restricted Stock Units 10 | | | | | | | | 08/30/2022 | Common Stock | 327 Shares | | 327 | Indirect | By spouse |
| Restricted Stock Units 11 | | | | | | | | 11/29/2022 | Common Stock | 211 Shares | | 211 | Indirect | By spouse |
| Restricted Stock Units 12 | | | | | | | | 02/12/2023 | Common Stock | 11,872 Shares | | 11,872 | Direct | |
| Restricted Stock Units 13 | | | | | | | | 03/13/2023 | Common Stock | 471 Shares | | 471 | Indirect | By spouse |
| Restricted Stock Units 14 | | 05/29/2020 | | A | 311 Shares (A) | | | 05/29/2023 | Common Stock | 311 Shares | | 311 | Indirect | By spouse |
| Explanation of Response | s | | | | | | | | | | | | | |
| (1) Performance-based restricted stock units converted into common stock on a one-for-one basis. (2) 2,975 shares were withheld to pay the income tax obligations upon vesting of performance-based restricted stock units. (3) Restricted stock units converted into common stock on a one-for-one basis. (4) 51 shares were withheld to pay the income tax obligations upon vesting of performance-based restricted stock units. (6) Restricted Stock Units are awarded under the Omnibus Award Plan with 4,527 units scheduled to vest on January 22, 2021 and 2022. (7) Restricted Stock Units are awarded under the Omnibus Award Plan with 158 units scheduled to vest on August 31, 2020, 2021 and 2022. (8) Restricted Stock Units are awarded under the Omnibus Award Plan with 101 units scheduled to vest on May 31, 2021 and 2022. (10) Restricted Stock Units are awarded under the Omnibus Award Plan with 71 units scheduled to vest on November 29, 2020 and 70 units scheduled to vest on November 29, 2021 and 2022. (12) Restricted Stock Units are awarded under the Omnibus Award Plan with 3,958 units scheduled to vest on November 29, 2021 and 2022. (12) Restricted Stock Units are awarded under the Omnibus Award Plan with 3,958 units scheduled to vest on November 29, 2021 and 2022. (12) Restricted Stock Units are awarded under the Omnibus Award Plan with 3,958 units scheduled to vest on November 29, 2021 and 2022. (12) Restricted Stock Units are awarded under the Omnibus Award Plan with 3,958 units scheduled to vest on November 29, 2021 and 2022. (13) Restricted Stock Units are awarded under the Omnibus Award Plan with 3,958 units scheduled to vest on November 29, 2021 and 3,957 units scheduled to vest on February 12, 2022 and 2023. (14) Restricted Stock Units are awarded under the Omnibus Award Plan with 157 units scheduled to vest on March 13, 2021, 2022, and 2023. (14) Restricted Stock Units are awarded under the Omnibus Award Plan with 157 units scheduled to vest on March 13, 2021, 2022, and 2023. (14) Restricted Stock Units are awarded und | | | | | | | | | | | | | | ard Plan with 4,527 cheduled to vest on 0, 2020, 2021 and units scheduled to |

The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78I, to assist investors in making investment decisions. The burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St., NW, Mailstop 41, Washington, DC 20551 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations, DC 20552 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number. Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Exhibit Information

Signed by: _

There is no Power of Attorney information

There is no Other information